

RATIONAL HOMOTOPY THEORY SEMINAR

# Sullivan's Minimal Models

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# Aim of the talk

→ **Rational Homotopy Theory** : Brief description

→ **Modèle minimal de Sullivan** : Definition and main properties

# Aim of the talk

→ **Rational Homotopy Theory** : Brief description

→ **Modèle minimal de Sullivan** : Definition and main proprieties

# Prerequisites

Algebraic Topology basic knowledge of what is :



**Homology**



**Homotopy**

# Prerequisites

## Graded & differential

$(A, d)$  that means :

~>  $A$ , module, vector space, algebra, ...

~>  $A := \bigoplus_k A^k$

~>  $d_k : A^k \rightarrow A^{k+1}$  such that  $d_{k+1} \circ d_k = 0$

~> We write  $d : A \rightarrow A$ , where  $d_k = d|_{A^k}$  and  $d^2 = 0$



# Prerequisites

## Cohomology

$(A, d)$  that means :

$$\rightsquigarrow H^k(A, d) := \frac{\ker d_{k+1}}{\operatorname{Im} d_k}$$

$$\rightsquigarrow H^*(A, d) := \bigoplus_k H^k(A, d)$$



# Prerequisites

## Homotopy

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- ↪ Two continuous maps  $f, g : \mathbb{S}^k \rightarrow X$  are called **homotopic** when there is continuous deformation  $H : \mathbb{S}^k \times [0, 1] \rightarrow X$ , such that  $H(., 0) = f, H(., 1) = g$ . We obtain an equivalence relation  $\sim$
- ↪ The homotopy groups :  $\pi_k(X) := \mathcal{C}(\mathbb{S}^k, X) / \sim$  and  $\pi_*(X) := \bigoplus_k \pi_k(X)$ .
- ↪ Type of homotopy :  $X$  and  $Y$  are called with the **same type of homotopy** when  $\pi_*(X) \cong \pi_*(Y)$ .



## Rational Space

A simply connected space  $X$  is called **rational** if the following is satisfied.

$\pi_*(X)$  is a  $\mathbb{Q}$ -vector space.

N.B :  $\pi_*(X) \otimes \mathbb{Q}$  is a  $\mathbb{Q}$ -vector space





## Rationalization

Let  $X$  be a simply connected space. A **rationalization** of  $X$  is simply connected and **rational space**  $Y$ , such that :

$$\begin{aligned}\pi_*(X) \otimes \mathbb{Q} &\cong \pi_*(Y) \\ H^*(X; \mathbb{Q}) &\cong H^*(Y; \mathbb{Q})\end{aligned}$$



## Theorem, [FHT]

Any simply connected space  $X$  admits an unique (up to homotopy) CW-complex rationalization



# Glossary

## Definition

The **rational homotopy type** of a simply connected space  $X$  is the homotopy type of its rationalization.



# Rational Homotopy Theory

## What it is it

Rational homotopy theory is the study of rational homotopy types of spaces and of the properties of spaces and maps that are invariant under rational homotopy equivalence.



## Founders in 1967

### Denis Sullivan (1941- ), CUNY-SUNY, USA

work in topology, both algebraic and geometric, and on dynamical systems

Doctoral advisor : William Browder

Wolf Prize in Mathematics (2010)

Leroy P. Steele Prize (2006)

National Medal of Science (2004)



## Founders in 1967

Daniel Quillen (1940-2011), Oxford

the "prime architect" of higher algebraic K-theory

Doctoral advisor : Raoul Bott

Fields Medal (1978)

Cole Prize (1975)

Putnam Fellow (1959)



# Model of Sullivan

## CGDA

A commutative graded differential algebra over the rational numbers is a graded  $\mathbb{Q}$ -algebra  $(A, d)$  such that

$$\begin{cases} ab = (-1)^{|a||b|}ba \\ (*) \quad d(ab) = (da).b + (-1)^{|a|}b.da \quad \text{for all } a, b \in A \end{cases}$$

In particular :

$$\rightsquigarrow y^2 = 0 \text{ when } |y| \text{ odd}$$

$$\rightsquigarrow xy = yx \text{ when } |x| \text{ even}$$



# Model of Sullivan

## How to build it

From any differential and graded  $\mathbb{Q}$ -vector space  $V$ , we define the cgda  $\Lambda V$  denotes defined by

$$\Lambda V = TV / \langle v \otimes w - (-1)^{|v||w|} w \otimes v \rangle$$

where  $TV$  denotes the tensor algebra over  $V$ .  
The differential on  $\Lambda V$  is naturally extended from that of  $V$  with respecting the condition (\*) called of nilpotence or of Leibniz





# Model of Sullivan

## Model of Sullivan

Our cgda is called a **model of Sullivan** when there exists some well ordered **basis**  $(v_\alpha)_{\alpha \in I}$  of  $V$  such that

$$dv_\alpha \in \wedge \{v_\beta, \beta < \alpha\}$$



# Model of Sullivan

## Minimal model

The model of Sullivan is called **minimal** when

$$\alpha < \beta \implies |v_\alpha| \leq |v_\beta|$$



# Model of Sullivan

## Elliptic model

The minimal model is called **elliptic** when both  $V$  and  $H^*(\Lambda V, d)$  are finite dimensional, in this case

$$\begin{aligned}(\Lambda V, d) = (\Lambda\{x_1, \dots, x_n\}, d) \quad & \text{with} \quad |x_1| \leq \dots \leq |x_n| \\ & dx_1 = 0 \\ & \text{and} \quad dx_j \in \Lambda(x_1, \dots, x_{j-1}) \\ & \text{for } j \geq 2\end{aligned}$$



# Model of Sullivan

## D. Sullivan, [Su]

Any simply connected space have a minimal model of Sullivan,  $(\Lambda V, d)$  (unique up to isomorphism of cgda), who models its cohomology and homotopy as follows :

$$\begin{aligned} H^k(X; \mathbb{Q}) &\cong H^k(\Lambda V, d) \\ \pi_k(X) \otimes \mathbb{Q} &\cong V^k \end{aligned}$$



# Model of Sullivan

## Basic Examples

For the odd sphere :  $\mathbb{S}^{2k+1}$ , the model is the form  $(\wedge\{x\}, 0)$  with  $|x| = 2k + 1$ . So

$$\begin{aligned}\pi_n(\mathbb{S}^{2k+1}) &\cong \mathbb{Z} && \text{if } n = 2k + 1 \\ &\cong 0 && \text{if not}\end{aligned}$$



# Model of Sullivan

## Basic Examples

For the even sphere :  $\mathbb{S}^{2k}$ , the model is the form  $(\wedge\{x, y\}, d)$  with  $|x| = 2k, |y| = 4k - 1, dy = x^2$ . So

$$\begin{aligned}\pi_n(\mathbb{S}^{2k}) &\cong \mathbb{Z} && \text{if } n = 2k \\ &\cong \mathbb{Z} && \text{if } n = 4k - 1 \\ &\cong 0 && \text{if not}\end{aligned}$$



# Special Denotations

↪ In general for any  $x \in \Lambda V$ , we have

$$dx = \sum_{k \geq 0} \beta_k \underbrace{y_1 \dots y_k}_{\substack{\text{length}=k \\ |y_i| \text{ odd}}} \prod_{\substack{|x_i| \text{ even} \\ x_i^{\alpha_i}}} \in \bigoplus_k \Lambda^k V^{\text{odd}} \otimes \Lambda V^{\text{even}}$$

↪ Hence  $\Lambda V$  is bi-graded as follows  $\Lambda V = \bigoplus_{p,q} (\Lambda^p V^{\text{odd}} \otimes \Lambda V^{\text{even}})^q$ .  
 $p$  word-length graduation and  $q$  : degree graduation.

↪  $\Lambda^{\geq k} V := \bigoplus_{p \geq k} \Lambda^p V$  and  $\Lambda^+ V := \Lambda^{\geq 1} V$

↪ When  $(\Lambda V, d)$  is a simply elliptic minimal model, we have

$$dV \subset \Lambda^{\geq 2} V = \Lambda^+ V \cdot \Lambda^+ V$$

# Special Denotations

## Simple Conclusions

$$\rightsquigarrow \Lambda(V \oplus W) = \Lambda V \otimes \Lambda W$$

$$\rightsquigarrow \text{When } |y| \text{ odd, } \Lambda y = \{ay + b; a, b \in \mathbb{Q}\} = \mathbb{Q}_1[y]$$

$$\rightsquigarrow \text{When } |x| \text{ even, } \Lambda x = \left\{ \sum_k a_k x^k; a, b \in \mathbb{Q} \right\} = \mathbb{Q}[x]$$





# Special Denotations

## Pure Model

When

$$\begin{aligned}dV^{\text{even}} &= 0 \\dV^{\text{odd}} &\subset \wedge V^{\text{even}}\end{aligned}$$



# Special Denotations

## Hyperelliptic Model

When

$$dV^{\text{even}} = 0$$



# Special Denotations

## Two Stage Model

When

$$\begin{aligned}V &= U \oplus W \\dU &= 0 \\dW &\subset \wedge U\end{aligned}$$



# Example of Algebraization

## Hilali Conjecture (1990)

For any **elliptic** and **simply connected** topological space  $X$ , we have

$$\dim(\pi_*(X) \otimes \mathbb{Q}) \leq \dim H^*(X; \mathbb{Q})$$



# Example of Algebraization

## Algebraic version

For any elliptic model of Sullivan,  $(\Lambda V, d)$  we have

$$\dim V \leq \dim H^*(\Lambda V, d)$$



# Example of Algebraization

Simple example in which it holds

For the sphere  $S^n$  we have seen that

$$\dim V = 1 \text{ or } 2$$

, and it's well known that

$$H^0(S^n; \mathbb{Q}) = H^n(S^n; \mathbb{Q}) = \mathbb{Q} \text{ and } H_i(X; \mathbb{Q}) = 0$$

for all other  $i$ .



# Euler-Poincaré characteristic

## Definition

For any 1-connected elliptic model  $(\Lambda V, d)$  we define two invariants. One **cohomological** :

$$\chi_c := \sum_{k \geq 0} (-1)^k \dim H^k(\Lambda V, d)$$

and another **homotopic** :

$$\chi_\pi := \sum_{k \geq 0} (-1)^k \dim(V^k)$$



# Euler-Poincaré characteristic

S. Halperin, [Ha83]

we have the following :

$$\chi_c \geq 0 \text{ and } \chi_\pi \leq 0$$

Moreover,

$$\chi_c > 0 \iff \chi_\pi = 0$$

In this case

$$H^*(\Lambda V, d) = H^{\text{even}}(\Lambda V, d)$$





# Euler-Poincaré characteristic

## Generalisation

For any graded vector space  $A$ , the Euler-Poincaré characteristic is defined as follows

$$\chi(A) := \sum_{k \geq 0} (-1)^k \dim A^k$$

So,

$$\chi_C = \chi(H^*(\wedge V, d)), \quad \chi_\pi = \chi(V)$$

N.B

$$\chi(H^*(A, d)) = \chi(A)$$



# Euler-Poincaré characteristic

## Util Remark

↪ As  $\chi_\pi = \dim V^{\text{even}} - \dim V^{\text{odd}}$ , we put  $\dim V^{\text{even}} = p$  and  $\dim V^{\text{odd}} = n + p$ , so

↪  $\chi_\pi = -p$  and  $\dim V = 2n + p$

↪  $p = 0 \iff H^*(\Lambda V, d) = H^{\text{even}}(\Lambda V, d)$

↪  $p \neq 0 \iff \dim H^*(\Lambda V, d) = 2 \dim H^{\text{even}}(\Lambda V, d)$



# Toral Rank

## Definition

$rk_0(X) :=$  The largest integer  $n \geq 1$  for which  $X$  admits an almost-free  $n$ -torus action



C. Allday & Halperin, [AH78]

$$rk_0(X) \leq -\chi_\pi$$

The equality holds when  $X$  is pure



# Toral Rank

# Toral Rank

Toral Rank Conjecture (TRC), S.Halperin (1986)

For any **elliptic** and **simply connected** topological space  $X$ , we have

$$\dim H^*(X; \mathbb{Q}) \geq 2^{rk_0(X)}$$



## The link between ConjH & TRC

↪ We know by [AH78] that  $rk_0(X) \leq -\chi_\pi = p$ , the write

$$\text{TRC : } \dim H^*(X; \mathbb{Q}) \geq 2^{p-\varepsilon}$$

$$\text{Conj. H : } \dim H^*(X; \mathbb{Q}) \geq 2n + p$$

↪  $\text{Conj H} + 2n + p \geq 2^{p-\varepsilon} \implies \text{CRT}$



# Formal dimension

## Definition

For an elliptic space  $X$ , we put

$$fd(X) := \max\{k, H^k(X, \mathbb{Q}) \neq 0\}$$



# Formal dimension

J. Friedlander and S. Halperin, [FH79]

If  $X$  is a 1-connected and elliptic space of minimal Sullivan model  $(\wedge V, d)$ , then  $fd(X) \geq \dim V$





# Formal dimension

Best known result, losed source

If  $X$  is a 1-connected and elliptic manifold, then  $fd(X) = \dim X$



# Formal dimension

J. Friedlander and S. Halperin, [FH79]

There exists a special homogeneous basis  $x_1, \dots, x_n$  of  $V^{\text{even}}$  and a basis  $y_1, \dots, y_{n+p}$  of  $V^{\text{odd}}$  such that :

$$\rightsquigarrow |x_1| \leq \dots \leq |x_n|$$

$$\rightsquigarrow 2|x_i| - 1 \leq |y_i| \text{ for } i = 1..n$$







$$\rightsquigarrow \sum_{i=1}^n |x_i| \leq fd(X)$$

$$\rightsquigarrow \sum_{i=1}^{n+p} |y_i| \leq 2fd(X) - 1$$

$$\rightsquigarrow \sum_{i=1}^{n+p} |y_i| - \sum_{i=1}^n (|x_i| - 1) = fd(X)$$



# Main References of RHT

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